

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Chien, et al.

Serial No.: 09 434,318

Filing Date: November 4, 1999



Group Art Unit: Not yet assigned

Examiner: Not yet assigned

For: FLIP-CHIP LIGHT-EMITTING DEVICE

Assistant Commissioner for Patents  
Washington, DC 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

"EXPRESS MAIL" Mailing Label No. EI 525022252US

Date of Deposit: June 3, 2000

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Attn: Application Processing Division, Assistant Commissioner for Patents, Washington, D.C. 20231

Type of Print Name: Christy Wilson

*Christy Wilson*  
Signature

**DECLARATION OF FACTS**

Mr. Fzung Feng Wu, an attorney representing Uni Light Technology Incorporation, having personal knowledge of the facts set forth herein, hereby states and declares that:

1. I am an attorney with Lee and Li, Attorneys at Law, having a place of business at 7th Floor, 201, Tun Hua N. Road, Taipei 105, Taiwan R.O.C.

2. As an attorney with Lee and Li, I represent Uni Light Technology Incorporation (Uni Light).

3. Employment records show that Fen-Ren Chien was employed with Uni Light from 1 August 1998 to 9 September 1999. A "Work and Research Record" for Mr. Chien is attached as Exhibit A to show proof that Mr. Chien was employed by Uni Light and working on the invention submitted in the above-referenced application.

Employment records show that Schang-Jing Hon was employed with Uni Light from 7 December 1998 to 9 September 1999. A "Work and Research Record" for Mr. Hon is attached as Exhibit B to show proof that Mr. Hon was employed by Uni Light and working on the invention submitted in the above-referenced application.

4. Fen-Ren Chien, on 3 March 2000, verbally refused to sign the filing documents. Additionally, a Post Certified Letter requesting that Fen-Ren Chien sign the filing documents was mailed to the last known address of Fen-Ren Chien on 7 March 2000. A copy of that letter and certification is attached as Exhibit C. I have received no response to this letter.

A Post Certified Letter requesting that Schang-Jing Hon sign the filing documents was mailed to the last known address of Schang-Jing Hon on 7 March 2000. A copy of that letter and certification is attached as Exhibit D. I have received no response to this letter. Moreover, Schang-Jing Hon signed and returned the Oath & Assignment for the Taiwanese application corresponding to the above referenced application. I believe that Schang-Jing Hon has moved to Germany, but I do not know how to reach him.

5. Mr. Fen-Ren Chien's last known address is 4F, No. 36, Yu-Hsi Street, Yungho City, Taipei Hsien, Taiwan, R.O.C.

Mr. Schang-Jing Hon's last known address is 2F, No. 8, Lane 29, Chu-Hsing Street, Pate City, Taoyuan Hsien, Taiwan, R.O.C.

6. Uni Light has rights in the subject matter of the above-referenced application.

With regard to Schang-Jing Hon, he executed an Oath and Assignment for the corresponding Taiwanese application. A copy of this Oath and Assignment is attached as Exhibit E. Moreover, Article 7 of the R.O.C. Patent Law states that "where an invention, or a new utility model or a new design is made by an employee in the performance of his job duties, the right to apply for patent or the patent right thereof shall be vested in his employer." Mr. Hon's employment with Uni Light, and specifically his work on Uni Light's invention during this time, appears to fall under the conditions of Article 7 with regard to Uni Light's rights to the invention disclosed in the above-referenced application. A copy of Article 7 of the R.O.C. Patent Law is attached as Exhibit F.

With regard to Fen-Ren Chien, Uni Light also has rights in the subject matter under Article 7 of the R.O.C. Patent Law.

A copy of related documents evidencing Uni Light's ownership of the invention, that were previously filed in the Taiwanese Intellectual Property Office, are also attached as Exhibit G.

7. Uni Light also submits that it will suffer irreparable damage should this petition not be granted and the company's interests are at stake in that a filing date is necessary to make a claim for priority based on the Taiwanese application previously filed.

8. I declare further that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

\_\_\_\_\_  
Fzung Feng Wu  
President  
Uni Light Technolgoy Incorporation

\_\_\_\_\_  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Chien, et al.

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Group Art Unit: Not yet assigned

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For: FLIP-CHIP LIGHT-EMITTING DEVICE

Assistant Commissioner for Patents  
Washington, DC 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

"EXPRESS MAIL" Mailing Label No. EI 5250-222521'S

Date of Deposit: June 3, 2000

I hereby certify that this paper or file is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 39 CFR 1.10 on the date indicated above and is addressed to Attn: Application Processing Division, Assistant Commissioner for Patents, Washington, D.C. 20231

Type or Print Name: Christy Wilson

*Christy Wilson*  
Signature

**DECLARATION OF FACTS**

Mr. Wesley Shen, a senior staff member of Uni Light, having personal knowledge of the facts set forth herein, hereby states and declares that:

1. I am a senior staff member of Uni Light, having a place of business at No. 165, Shan Ying Road, Kwei Shan, Taoyuan Hsien, Taiwan, R.O.C.

2. On 3 March 2000, I called Mr. Fen-Ren Chien at 3:00 p.m and requested that he sign the Oath and Assignment of the invention which was made during his period of employment at Uni Light. Mr. Chien verbally refused to sign the filing document.

3. I declare further that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

\_\_\_\_\_  
Wesley Shen  
Senior Staff Member  
Uni Light Technology Incorporation

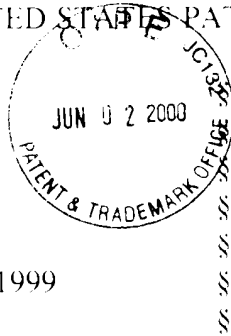
\_\_\_\_\_  
Date

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In re Application of:  
Chien, et al.

Serial No.: 09/434,318

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Group Art Unit: Not yet assigned

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For: FLIP-CHIP LIGHT-EMITTING DEVICE

Assistant Commissioner for Patents  
Washington, DC 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

"EXPRESS MAIL" Mailing Label No. 11

Date of Deposit

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under CFR 1.10 on the date indicated above and is addressed to Attn: Application Processing Division, Assistant Commissioner for Patents, Washington, D.C. 20231

Type or Print Name: Christy Wilson

Signature

**DECLARATION OF FACTS**

Mr. Fzung Feng Wu, President of Uni Light Technology Incorporation, having personal knowledge of the facts set forth herein, hereby states and declares that:

1. I am the President of Uni Light Technology, having a place of business at No. 165, Shan Ying Road, Kwei Shan, Taoyuan Hsien, Taiwan, R.O.C.

2. Employment records show that Fen-Ren Chien was employed with Uni Light from 1 August 1998 to 9 September 1999. A "Work and Research Record" for Mr. Chien is attached

as Exhibit A to show proof that Mr. Chien was employed by Uni Light and working on the invention submitted in the above-referenced application.

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4. Mr. Fen-Ren Chien's last known address is 4F, No. 36, Yu-Hsi Street, Yunghe City, Taipei Hsien, Taiwan, R.O.C.

Mr. Schang-Jing Hon's last known address is 2F, No. 8, Lane 29, Chu-Hsing Street, Pate City, Taoyuan Hsien, Taiwan, R.O.C.

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---

Fzung Feng Wu  
President  
Uni Light Technology Incorporation

---

Date



(Translation)

**Uni Light Technology Inc.**

Instructions for the Use of Work and Research Logbooks

Article 1: A work and research logbook (the "Logbook") contains work experiences, research information and data, new inventions or discovery, reflects the results of our colleagues' efforts made in their work and their expertise, and may serve as important evidence in case of a legal action arising from a dispute over intellectual property right. Therefore, it shall be properly placed for safekeeping and shall not be exchanged or delivered to another person for use.

Article 2: The process and results of any and all kinds of work or inventions engaged by an employee of this Company, whether successful or otherwise, shall be recorded in detail and in full in the Logbook. Any creative impulse, initial idea, calculation, summary of discussions, contents of an interview or experiences acquired therefrom may be recorded in the Logbook.

Article 3: Upon receipt of a new Logbook, a user shall carefully check if the sequence of pages of the Logbook is correct or if any page is missing or torn. In the case of any defect, the user shall immediately request a replacement; then, a new copy shall be issued and the original copy shall be deemed invalid.

Article 4: A user shall write his/her name, employee serial number, receipt date of the Logbook, the period of use and the name of his/her immediate superior in the Logbook and shall write down the date of return when the Logbook is returned.

Article 5: Pens such as a ballpoint pen, a fountain pen or a signature pen whose writing will never fade away must be used to write the Logbook. A pencil shall never be used. No incorrect record or misstatement shall be erased by an eraser or covered by correction fluid but shall be crossed out by the user who shall then sign his/her name for the crossing out.



Article 6: None of the pages of the Logbook may be torn off or destroyed. Prior to writing a record on a page, a user shall first write down his/her name, date and employee serial number.

Article 7: No blank space of a page on which records of the same date are kept shall be left. A user shall continue using the same page for records of the same date. If a page is not used up, the remaining space shall be crossed out. If a blank page is negligently left, the user shall cross it out and sign his/her name.

Article 8: Don't write a record on another sheet of paper and then stick it in the Logbook. If it is necessary to stick a photo, a picture or a list in the Logbook, a user shall sign his/her name, write down the date and have the signature of a witness on the junction.

Article 9: In the case of an important conclusion or invention, two or more witnesses shall be invited to give the date and sign their names on the relevant page(s), so as to protect the user's personal rights and interests.

Article 10: No affixation of seal may replace a signature.

Article 11: A user shall take note that a record is described in a way that it is clear and understandable and includes a brief explanation and conclusion, in order for the successive worker to continue the relevant work and for facilitating the protection of intellectual property.

Article 12: When an employee is transferred to a different department, he/she shall return the Logbook in use and obtain a new copy.

Article 13: This Logbook is the intellectual property of Uni Light Technology Inc. Any and all information contained in the Logbook is confidential. Employees of this Company are required to hold these Instructions strictly confidential

#### Regulations Governing the Work and Research Logbooks

Article 14: These Regulations are prescribed in an effort to protect the intellectual property of this Company, accumulate technologies, safeguard the rights and interests of personal invention, and to enhance technical ability of this Company.

Article 15: In this Company, an engineer relating to production, manufacturing process and research & development or a relevant employee (to be decided by the department chief) shall obtain the "Work and Research Logbook" from the Financial and Accounting Department and shall fill out the Logbook by referring to the "Instructions of the Use of the Work and Research Logbook."

Article 16: When the Logbook is issued to or returned by a user, the personnel in charge of the issuance or receipt of the Logbook shall sign his/her name as evidence.

Article 17: An officer shall review, on a regular basis, the Logbook of his/her immediate subordinate for at least once a month and shall write his/her signature and date in the witness box on each page.

Article 18: The Logbook is an important intellectual property of this Company and shall be properly placed for proper safekeeping. If a user loses his/her Logbook, he/she shall immediately submit a formal written report, sign a bond regarding the loss and transfer the same to the Financial and Accounting Department for issuing a new copy of the Logbook.

Article 19: Upon resignation or transfer to another department, a user shall return his Logbook to the Financial and Accounting Department and include it in the important documents to be transferred upon the transfer of his/her job.

Article 20: An employee shall keep an exact record in the Logbook, the quality thereof, whether it is good, poor, or is diligently or carelessly recorded, shall be an important reference for his/her superior to evaluate his/her service performance and promotion.

Article 21: The Logbook shall be considered as confidential information within

and outside this Company.

Article 22: These Regulations shall come into force after being submitted to and approved by the General Manager.

These Regulations was approved and came into force on March 19, 1999.

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Serial Number of the Work and Research Logbook: UL0002

Name of Employee: Fen-Ren Chien	Department: Epitazy products	Receipt date: April 15, 1999
Employee Serial No. 87013	Immediate superior: Chien, Fen-Ren	Return date:

Reason for Return: ☐ Pages of the Logbook are used up  
☐ Transfer of Department  
☐ Resignation  
☐ Others



(Translation)

**Uni Light Technology Inc.**

Instructions for the Use of Work and Research Logbooks

- Article 1: A work and research logbook (the "Logbook") contains work experiences, research information and data, new inventions or discovery, reflects the results of our colleagues' efforts made in their work and their expertise, and may serve as important evidence in case of a legal action arising from a dispute over intellectual property right. Therefore, it shall be properly placed for safekeeping and shall not be exchanged or delivered to another person for use.
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and outside this Company.

Article 22: These Regulations shall come into force after being submitted to and approved by the General Manager.

These Regulations was approved and came into force on March 19, 1999.

---

Serial Number of the Work and Research Logbook: UL0002

Name of Employee: Schang-Jing Hon	Department: Epitazy products	Receipt date: April 15, 1999
Employee Serial No. 87013	Immediate superior: Chien, Fen-Ren	Return date:

Reason for Return: ☐ Pages of the Logbook are used up  
☐ Transfer of Department  
☐ Resignation  
☐ Others



(Translation)



A Post Certified Letter

Taoyuan 23 Post Office  
Certified No. 264

Sender: Uni Light Technology Incorporation  
Address: No. 165, Shan Ying Road, Kwei Shan,  
Taoyuan Hsien, Taiwan, R.O.C.

Receiver: Fen-Ren Chien  
Address: 4F, No. 36, Yu-Si Street, Yungho City,  
Taipei Hsien, Taiwan, R.O.C.

To: Mr. Fen-Ren Chien

Mr. Wesley Shen, a senior staff member of Uni Light, called you at 3:00 p.m. on 3 March 2000 and requested that you sign the Oath and Assignment (enclosed) of the invention which was made during your period of employment at Uni Light. However, you refused to sign the filing document. In order to complete the filing procedure of the patent application, we have issued this Post Certified Letter to you. We also enclose the Oath and Assignment for your signature. Please return the executed Oath and Assignment to us by 22 March 2000.

Taoyuan 23 Post Office

Date: 7 March 2000

(Sealed by Uni Light Technology Corporation)  
(Translation)



## 紙

任證信由第

局 郵  
號

寄件人姓名 王德山  
詳細地址 北京市東城區前門外大街  
收件人姓名 王德山  
詳細地址 北京市東城區前門外大街

本欄姓名、地址不敷填寫時，請另附貼紙。

丁巳十一月三日 下午三點 五令六庫 貴石照

中 國 郵 政 特 快 專 車 票 據 申 請 權 證 明 書 ( 如 附 件 ) , 唯 未 獲 首 肯 。

本公司為辦理專利登記之作業需要，因而特

發此函，並附上「宣誓書」中請權證明書」。

尚祈于民國十八年三月二十二日以前郵寄

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(Translation)

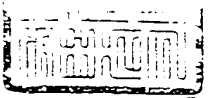
## Oath & Assignment

1. The undersigned hereby undertake that the invention/new utility/new design entitled " FLIP-CHIP LIGHT-EMITTING DEVICE" was truly invented by me/us. The undersigned agree to accept punishments provided for in laws in case of any imposture, plagiarism, imitation or deceptive activities.
2. The undersigned hereby assign all my/our rights and interests regarding the invention/new utility model/new design, including the right to claim priority, insofar as the Republic of China is concerned to Uni Light Technology Incorporation, a corporation organized and existing under the laws of Taiwan, R.O.C. and located at No. 165, Shan Ying Road, Kwei Shan, Taoyuan Hsien, Taiwan, R.O.C.

Inventor : Fen-Ren Chien  
Schang-Jing Hong

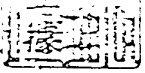
Address:

Date: 2000



宣誓書暨申請權證明書

58819-1/111



一、立據人，公訴宣誓稱余（等）為下述創作之創作人，余（等）尚冒用元，抄襲，填寫，影射或其他方式之實情，願受法律之懲罰。

創作名稱：覆晶式發光一極裝置

二、現今（等）將前述創作在中華民國之權利與利益讓與洲磊科技股份有限公司，其乃根據中華民國法律組織成立者，其事務所設於桃園縣龜山鄉山頂村山鶯路一〇〇號。

立據人：簡奉任

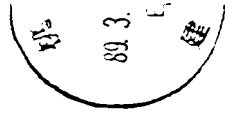
（簽章）

洪詳竣

（簽章）

地址：

日期：八十九年 月 日





(Translation)

A Post Certified Letter

Taoyuan 23 Post Office  
Certified No. 264

Sender: Uni Light Technology Incorporation  
Address: No. 165, Shan Ying Road, Kwei Shan,  
Taoyuan Hsien, Taiwan, R.O.C.  
Receiver: Schang-Jing Hon  
Address: 2F, No. 8, Lane 29, Chu-Hsing Street,  
Pate City, Taoyuan, Hsien, Taiwan, R.O.C.

To: Mr. Schang-Jing Hon

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Taoyuan 23 Post Office

Date: 7 March 2000

(Sealed by Uni Light Technology Corporation)



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本局為便利寄件人起見，特設此項證明書，以便寄件人證明其郵件之真實性，並可證明其郵件之價值，以便在發生損失時，可向保險公司或郵局申請賠償。此項證明書，應由寄件人填寫，並貼於郵件背面，以便郵局人員核對。如寄件人填寫不實，或故意偽造，一經查出，將取消其寄件資格，並處以罰鍰。此項證明書，每份收費一角，如寄件人同時寄寄多件郵件，可同時填寫多份證明書，其收費亦按件計算。此項證明書，自民國三十三年一月一日起開始使用，其格式如下：

本局為便利寄件人起見，特設此項證明書，以便寄件人證明其郵件之真實性，並可證明其郵件之價值，以便在發生損失時，可向保險公司或郵局申請賠償。此項證明書，應由寄件人填寫，並貼於郵件背面，以便郵局人員核對。如寄件人填寫不實，或故意偽造，一經查出，將取消其寄件資格，並處以罰鍰。此項證明書，每份收費一角，如寄件人同時寄寄多件郵件，可同時填寫多份證明書，其收費亦按件計算。此項證明書，自民國三十三年一月一日起開始使用，其格式如下：

郵票或  
郵資券  
黏貼處

(Translation)

Oath & Assignment

1. The undersigned hereby undertake that the invention/new utility/new design entitled " FLIP-CHIP LIGHT-EMITTING DEVICE" was truly invented by me/us. The undersigned agree to accept punishments provided for in laws in case of any imposture, plagiarism, imitation or deceptive activities.
2. The undersigned hereby assign all my/our rights and interests regarding the invention/new utility model/new design, including the right to claim priority, insofar as the Republic of China is concerned to Uni Light Technology Incorporation, a corporation organized and existing under the laws of Taiwan, R.O.C. and located at No. 165, Shan Ying Road, Kwei Shan, Taoyuan Hsien, Taiwan, R.O.C.

Inventor :      Fen-Ren Chien  
                 Schang-Jing Hon

Address:

Date:                              2000

宣誓書暨申請權證明書

58819-1.IIL

一、立據人，今特宣誓稱余（等）為下述創作之創作人，余（等）尚有冒充、抄襲、模仿、影射或其他不實情形，願受法律之懲罰。

創作名稱：覆晶式發光二極裝置

二、現余（等）將前述創作在中華民國之權利與利益讓予洲磊科技股份有限公司，其乃根據中華民國法律組織成立者，其事務所設於桃園縣龜山鄉山頂村山鶯路一〇〇號。

立據人：簡奉任

（簽章）

洪詳竣

（簽章）

地址：

日期：八十九年

月

日



(Translation)

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Inventor :      Fen-Ren Chien  
                 Schang-Jing Hon (sealed)

Address:        2F, NO. 8, Lane 29, Chu-Hsing Street, Pate City, Taoyuan  
                 Hsien, Taiwan, R.O.C.

Date:            21 March 2000



宣誓書暨申請權證明書

58819-1JH

一、立據人，今特宣誓稱余（等）為下述創作之創作人，余（等）倘有冒犯、抄襲、模仿、影射或其他不實情形，願受法律之懲罰。

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立據人：簡奉任

（簽章）

洪詳竣

洪詳竣

（簽章）



地址：桃園縣八德市竹園街二九二號

日期：八十九年 三月 二十二日

### 專利法第七條

受雇人於職務上所完成之發明、新型或新式樣，其專利申請權及專利權屬於雇用人，雇用人應支付受雇人適當之報酬。但契約另有訂定者，從其約定。

前項所稱職務上之發明、新型或新式樣，係指受雇人於僱傭關係中之工作完成之發明、新型或新式樣。

一方出資聘請他人從事研究開發者，其專利申請權及專利權之歸屬依雙方契約約定；契約未訂定者，屬於發明人或創作人。但出資人得實施其發明、新型或新式樣。

依第一項第三項之規定，專利申請權及專利權歸屬於雇用人或出資人者，發明人或創作人享有姓名表示權。

### **【Translation】**

#### Article 7

Where an invention, or a new utility model or a new design is made by an employee in the performance of his job duties, the right to apply for patent or the patent right thereof shall be vested in his employer, and the employer shall pay to the employee a reasonable remuneration, provided that if there is any provision otherwise provided in an agreement, such provision shall prevail.

The clause "an invention, or a new utility model or a new design is made in the performance of his job duties" as set forth in the preceding Paragraph shall mean the invention, new utility model or new design which is completed by an employee in performing his job duties during the period of his employment.

Where a fund-provider engages another party to make research and development, the ownership of the right to apply for patent and the patent right in connection with the outcome of such research and development shall be vested in the party as named in the agreement between the two parties concerned, or shall be vested in the inventor or creator if the eligible one is not named in such agreement provided that in any case, the fund-provider shall be allowed to put such invention, new utility model or new design into practice.

In case the ownership of the right to apply for patent and the patent right are vested in the employer or the fund-provider under Paragraph One or Paragraph Three hereinabove, the inventor or the creator concerned shall be entitled to the right of indicating his name as the inventor or the creator.



(Translation)

## DECLARATION

I, Tzung Feng Wu, the undersigned, am the Responsible Person of "UNI LIGHT TECHNOLOGY INCORPORATION" (hereinafter referred to as "UNI LIGHT") a company organizes and existing under the law of the Republic of China, having its business office located at 165 Shan Ying Road, Shan Ting Tsun, Gueishan Hsiang, Taoyuan County, Taiwan, Republic of China, and hereby present as follows:

1. Mr. Fen-Ren Chien (I.D. No.: F121841696) served in "UNI LIGHT" as the Vice General Manager of the "GaN epitaxy" and was responsible for the overall business operations related to the "GaN epitaxy" and "Quarternary epitaxy", during the period from August 1, 1998 through September 9, 1999.
2. Mr. Schang-Jing Hon (I.D. NO.: M120439400) served in "UNI LIGHT" as a technical specialist and was subsequently transferred to the post as a Manager in the "Quarternary epitaxy" used to provide assistance in the plant construction process and to take part in the research and development and production planning work for the production of "Quarternary epitaxy" and "GaN epitaxy" products.

- Exhibits:
1. The personnel data regarding the employment records of Mr. Fen-Ren Chien and Mr. Schang-Jing Hon by "UNI LIGHT".
  2. The Employment Change Records and the Labor Insurance Cards of the insured persons (Messrs. Fen-Ren Chien and Schang-Jing Hon) during their service in "UNI LIGHT" issued by the Bureau of Labor Insurance.
  3. The Income Tax Withholding/Exemption Statements of Mr. Fen-Ren Chien during his service in "UNI LIGHT" (from August 1998 till September 1999).
  4. The Income Tax Withholding/Exemption Statements of Mr. Schang-Jing Hon during his service in "UNI LIGHT" (from December 1998 till September 1999).



5. The Consolidated Statement of Salary Payments Transfer Accounts issued by Hua Nan commercial Bank (containing the relevant transfer accounts of the salary payments paid to Messrs. Fen-Ren Chien and Schang-Jing Hon by "UNI LIGHT" in December 1998, and April through September 1999).
6. One of the Work and Research Record Books of Mr. Fen-Ren Chien during his service in "UNI LIGHT".
7. One of the Work and Research Record Books of Mr. Schang-Jing Hon during his service in "UNI LIGHT".

This Declaration is made

On this Fifth Day of April, 2000

By: UNI LIGHT TECHNOLOGY INCORPORATION (affixed with corporate seal) -

Responsible Person: (signature and seal) Mr. Fzung Feng Wu

Notarized by: Mr. Yuan-Sun Chao

## 聲 明 書

本人，吳宗豐，係洲磊科技股份有限公司（以下簡稱「洲磊公司」）之負責人，該公司為一依中華民國法律組織成立之公司，該公司營業所在地位於桃園縣龜山鄉山頂村山鶯路166號。本人特聲明如下：

一、簡奉任先生（身分證字號：F121841696）自民國八十七年八月一日至八十八年九月九日任職於洲磊公司，擔任藍光磊晶處副總經理一職，曾負責藍光磊晶及四元磊晶相關之所有業務。

二、洪詳竣先生（身分證字號：M120439400）自民國八十七年十二月七日至八十八年九月九日任職於洲磊公司，擔任科技專員，後調任為四元磊晶處經理，曾負責協助建廠及參與四元磊晶和藍光磊晶之研發與生產規劃。

附件一簡奉任及洪詳竣先生就職於洲磊公司之人事資料表。

附件二勞工保險局出具之被保險人（簡奉任及洪詳竣先生）任職於洲磊公司之異動資料表，及勞保卡。

附件三簡奉任先生任職於洲磊公司之所得扣繳暨免扣繳憑單（民國八十七年八月至八十八年九月）。

附件四洪詳竣先生任職於洲磊公司之所得扣繳暨免扣繳憑單（民國八十七年十二月至八十八年九月）。

附件五華南商業銀行薪資撥入匯總表（內含有洲磊公司於民國八十七年十二月、八十八年四月至八十八年九月給付簡奉任及洪詳竣先生薪資之相關證明。）

附件六簡奉任先生任職於洲磊公司之工作與研究記錄簿乙份。

附件七洪詳竣先生任職於洲磊公司之工作與研究記錄簿乙份。

洲磊科技股份有限公司

負責人：吳宗豐（簽名及蓋章）

公證人：

中華民國八十九年四月 五日

